

# Active Learning of Mealy Machines with Timers

Véronique Bruyère, Bharat Garhewal, Guillermo Pérez, Gaëtan Staquet,  
Frits Vaandrager

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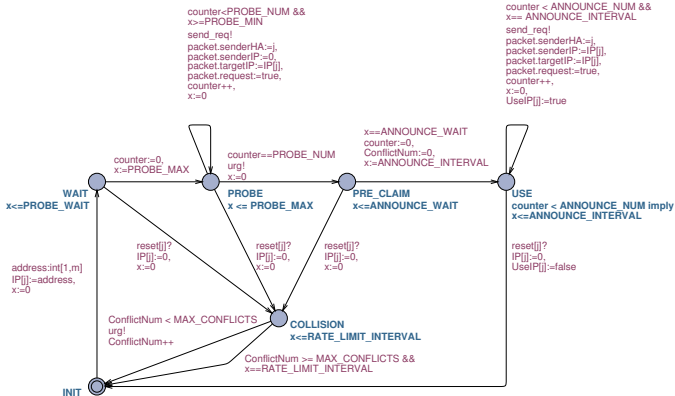
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Important but challenging research area!



Picture from J. Berendsen, B. Gebremichael, F.W. Vaandrager, and M. Zhang. Formal Specification and Analysis of Zeroconf using Upaal. In ACM TECS 10(3), 2011.

**Timed automata (Alur & Dill, 1990):** dominant formal model for describing timing behavior, but inferring transition guards during learning requires many queries.

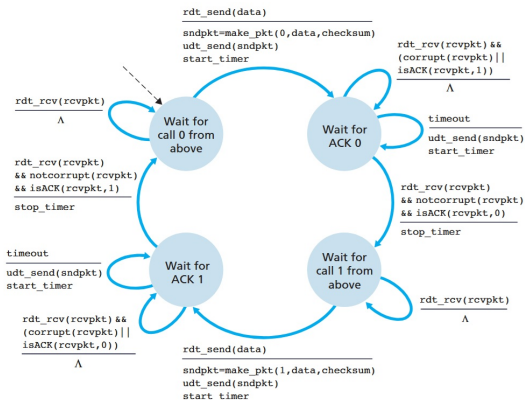


Figure 3.15 from J.F. Kurose and K.W. Ross. Computer Networking: A Top-Down Approach. Pearson. Sixth Edition, 2013

**Automata with timers (Dill, 1989):** often used by practitioners. Whereas in a timed automaton clock values increase when time advances, timer value in automata with timers decrease; when a timer value reaches 0, a timeout occurs.

During learning, we may efficiently determine which preceding transition cause a timeout by **wiggling** the timing of inputs<sup>12</sup>:



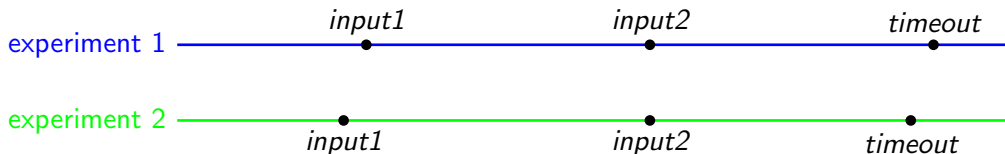
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<sup>1</sup>B. Jonsson and F. Vaandrager. Learning Mealy Machines with Timers. Unpublished, 2018.

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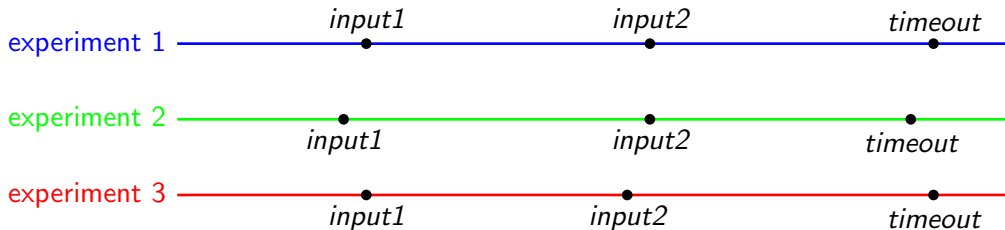
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Conclusion: *timeout* caused by timer that was started by *input1*

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## Results presented today

1. An algorithm for active learning of Mealy machines with timers, obtained as an extension of the  $L^\#$  algorithm<sup>3</sup>.
2. Experiments with prototype implementation show that our algorithm is able to efficiently learn realistic benchmarks.

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<sup>3</sup>F.W. Vaandrager, B. Garhewal, J. Rot, and T. Wißmann. A New Approach for Active Automata Learning Based on Apartness. TACAS'22.

# Mealy machines with timers

Fix sets  $I$  and  $O$  of inputs resp. outputs.

**Definition 1.** A *Mealy machine with timers (MMT)* is a tuple  $\mathcal{M} = (Q, q_0, X, \chi, \delta)$  where

- ▶  $Q$  is the finite set of *states*
- ▶  $q_0 \in Q$  is the *initial state*

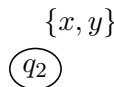
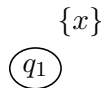


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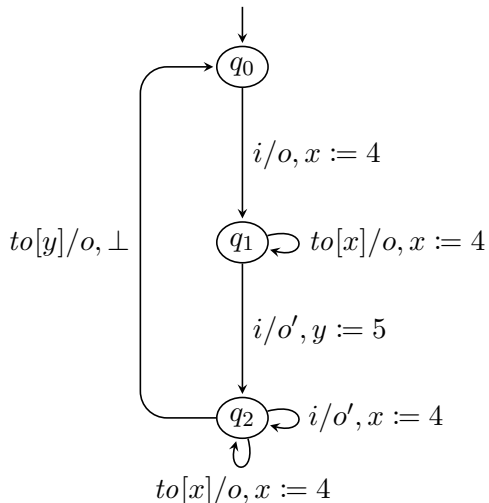


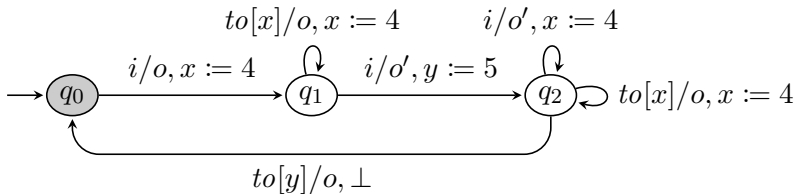
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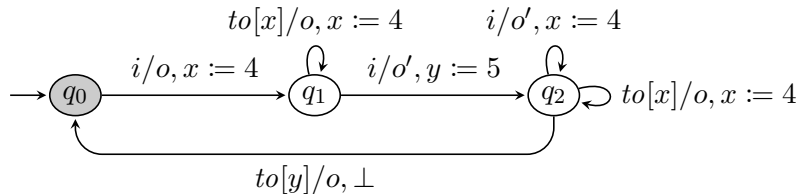
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- ▶  $\delta$  is the *transition function*



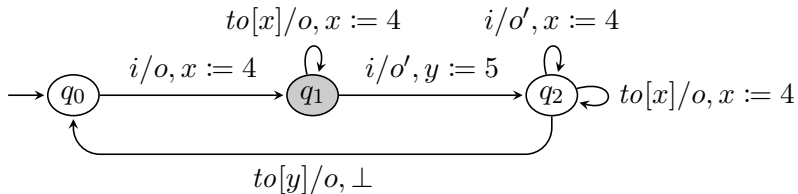


Timed run:  $(q_0, \emptyset)$

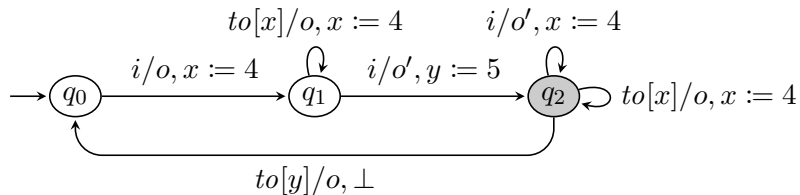


Timed run:  $(q_0, \emptyset) \xrightarrow{0.6} (q_0, \emptyset)$

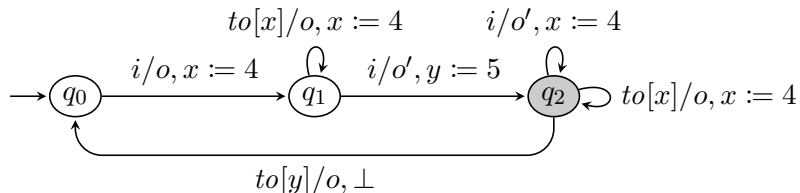




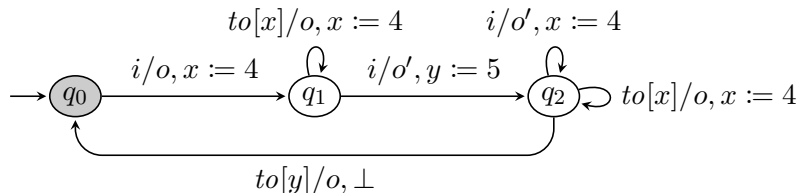
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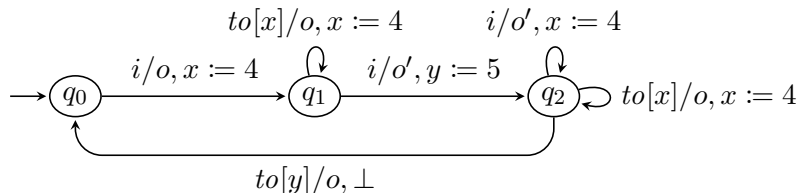
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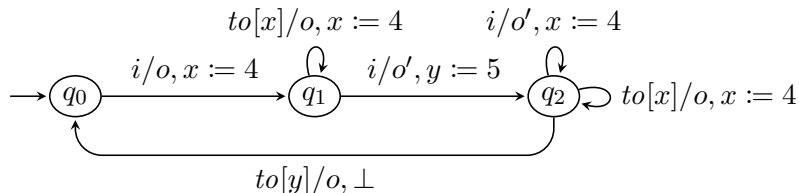


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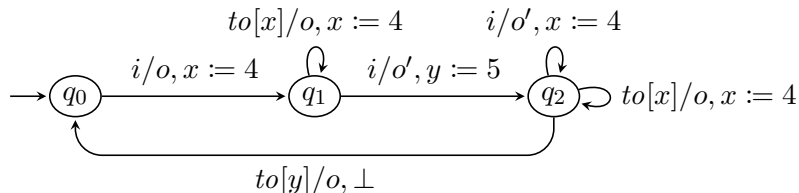
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**Timed trace:**                      0.6     $i/o$     0     $i/o'$     4     $to/o$     1     $to/o$     0.4



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**Symbolic input word:**             $i$              $i$              $to[4, 1]$              $to[5, 2]$



triggered by timer set to 4 by 1st event

# Symbolic equivalence

## Definition 2 (Symbolic equivalence).

- ▶ We write  $L_{sym}(\mathcal{M})$  for the set of symbolic input words accepted by MMT  $\mathcal{M}$ .
- ▶ For  $\mathbf{w} \in L_{sym}(\mathcal{M})$ ,  $out^{\mathcal{M}}(\mathbf{w})$  is the unique output word of timed runs that accept  $\mathbf{w}$ .
- ▶ Complete MMTs  $\mathcal{M}$  and  $\mathcal{N}$  are **symbolically equivalent**, noted  $\mathcal{M} \overset{sym}{\approx} \mathcal{N}$ , if  $L_{sym}(\mathcal{M}) = L_{sym}(\mathcal{N})$  and, for each  $\mathbf{w} \in L_{sym}(\mathcal{M})$ ,  $out^{\mathcal{M}}(\mathbf{w}) = out^{\mathcal{N}}(\mathbf{w})$ .



# Timed equivalence

**Definition 3** (Timed equivalence). *Two MMTs  $\mathcal{M}$  and  $\mathcal{N}$  are **timed trace equivalent**, noted  $\mathcal{M} \stackrel{tt}{\approx} \mathcal{N}$ , if they have the same timed traces.*

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**Lemma 4.** If  $\mathcal{M}$  and  $\mathcal{N}$  are complete then  $\mathcal{M} \overset{sym}{\approx} \mathcal{N}$  implies  $\mathcal{M} \overset{tt}{\approx} \mathcal{N}$ .

# Timed equivalence

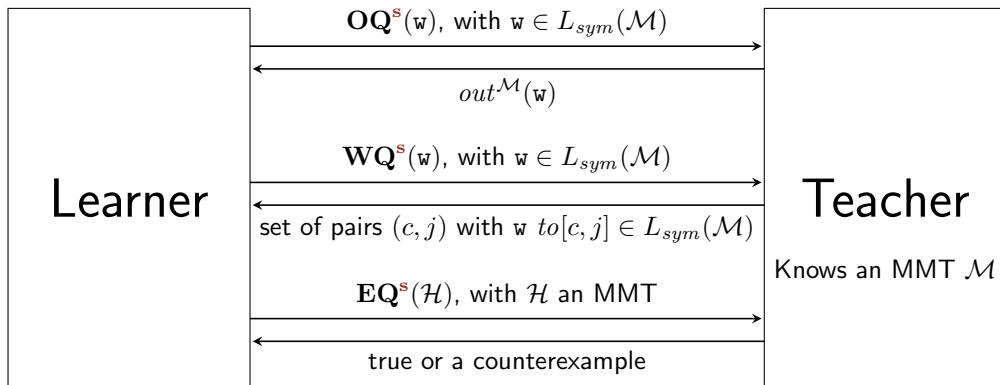
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**Lemma 5.** If  $\mathcal{M}$  and  $\mathcal{N}$  are complete and *race-avoiding* then  $\mathcal{M} \overset{tt}{\approx} \mathcal{N}$  implies  $\mathcal{M} \overset{sym}{\approx} \mathcal{N}$ .

# Symbolic learning framework

A learner may pose three different types of queries to a teacher: **output queries**, **wait queries**, and **equivalence queries**.



# Teaching assistants

**Lemma 6.** *For race-avoiding MMTs, the three types of symbolic queries can be realized via a polynomial number of concrete output and equivalence queries.*

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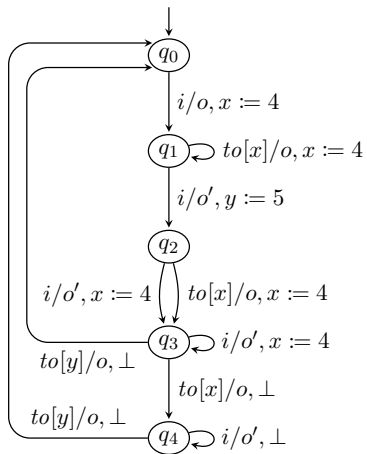
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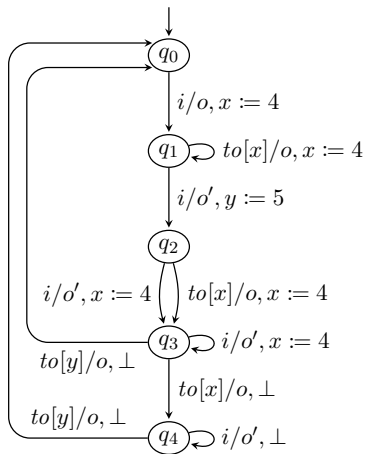
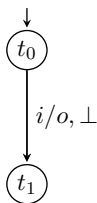
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Every (noninitial) state  $t_j$  of  $\mathcal{T}$  has a dedicated timer  $x_j$ , which can only be started by the incoming transition of  $t_j$ .



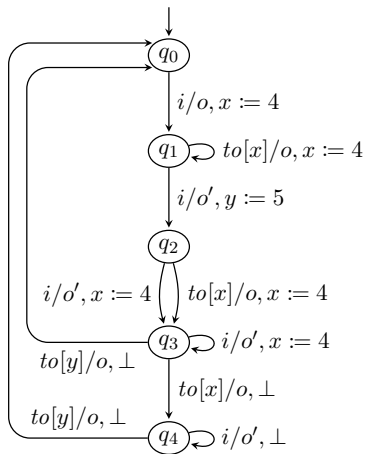
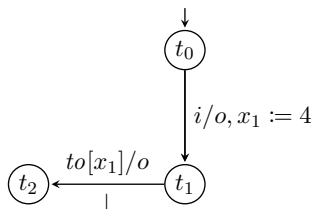
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## Symbolic queries

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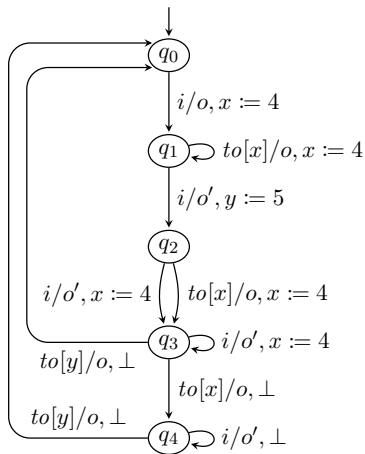
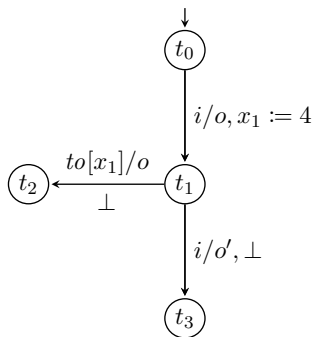
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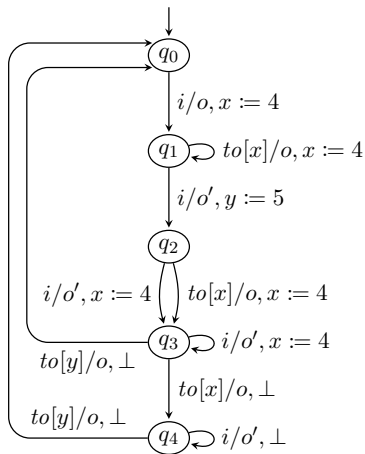
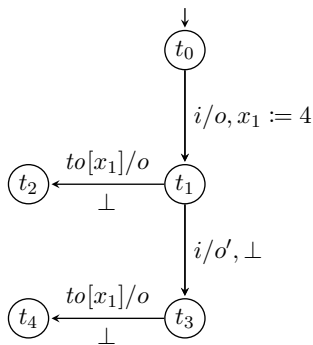
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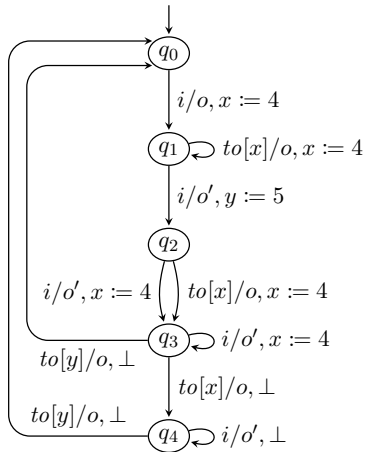
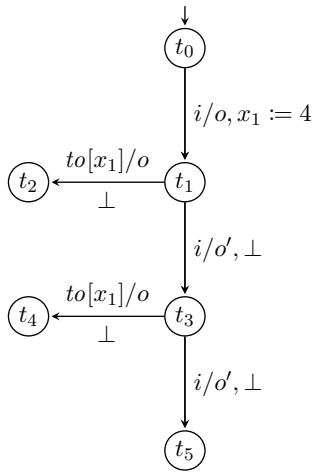
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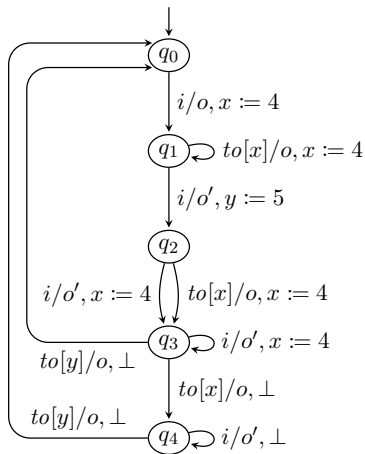
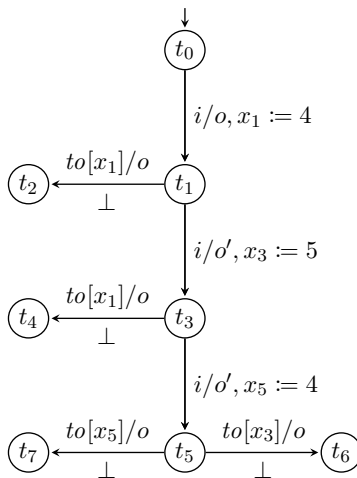
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6.  $\mathbf{WQ}^s(i \cdot i \cdot i)$

# Algorithm

The algorithm maintains a partition of the observation tree states:

- ▶ The **basis**: a prefix-closed set of states that are pairwise **apart**, meaning they represent different states of the hidden MMT.
- ▶ The **frontier**: the immediate successors of basis states that are not in the basis
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4. If a frontier state  $r$  is not apart from two basis states  $p$  and  $q$ , use a witness for apartness of  $p$  and  $q$ , to establish apartness of  $r$  from either  $p$  or  $q$

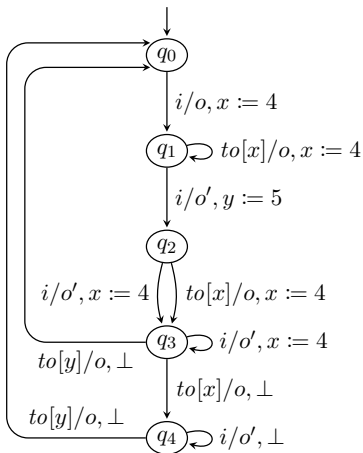
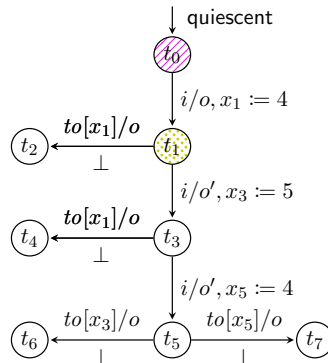
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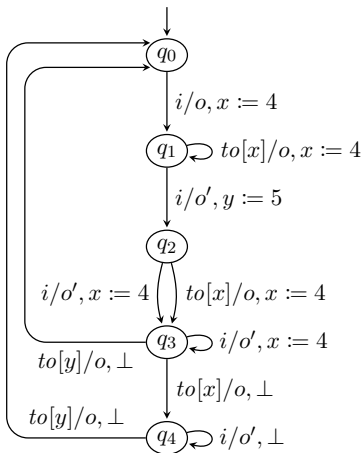
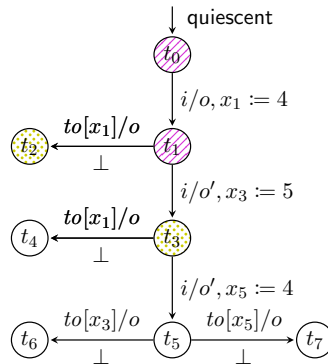
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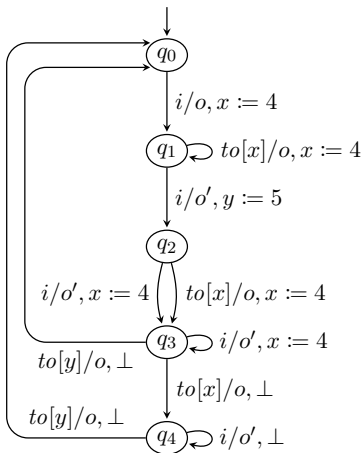
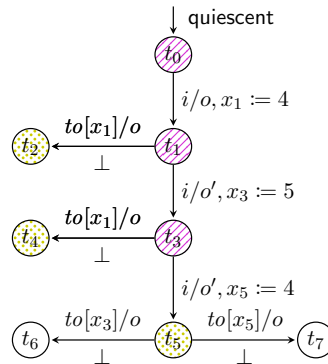
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The following steps are performed repeatedly (in any order):

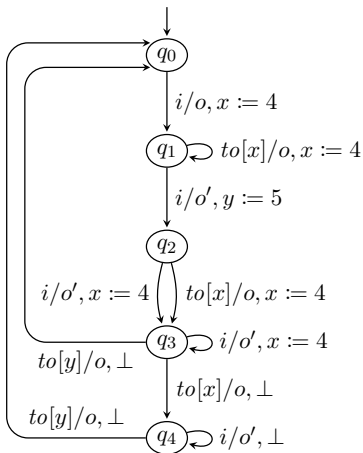
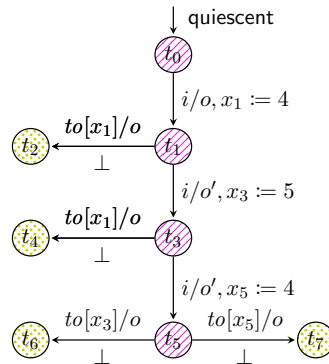
1. If a frontier state is apart from all basis states, add it to the basis
2. If no wait query has been performed for a basis or frontier state, do it
3. If basis state has no outgoing transition for some  $i \in I$ , add it
4. If a frontier state  $r$  is not apart from two basis states  $p$  and  $q$ , use a witness for apartness of  $p$  and  $q$ , to establish apartness of  $r$  from either  $p$  or  $q$
5. Do an equivalence query with hypothesis obtained by folding transitions to frontier states back into the basis

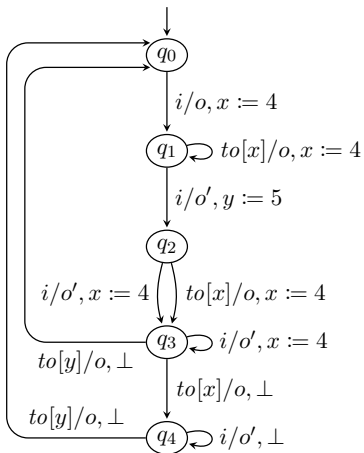
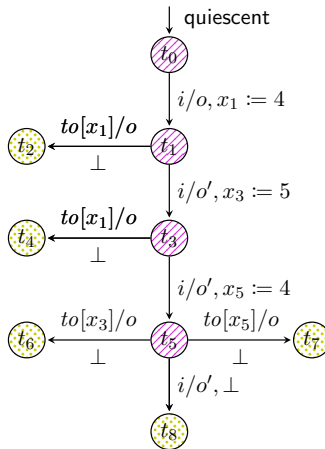
Hidden MMT  $\mathcal{M}$ Observation tree  $\mathcal{T}$ 

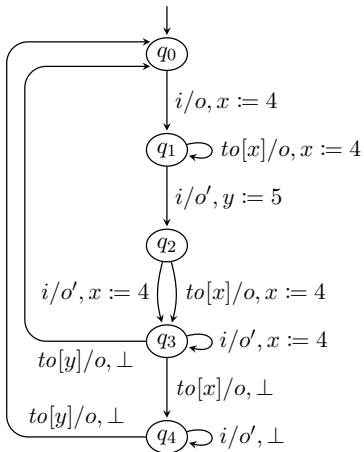
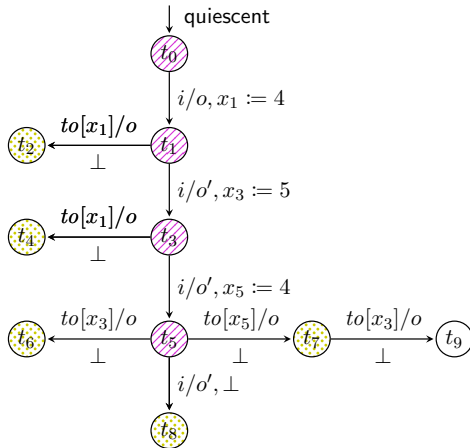
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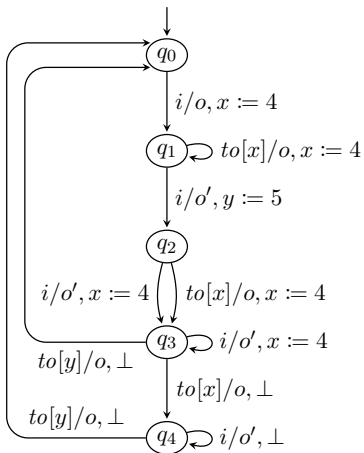
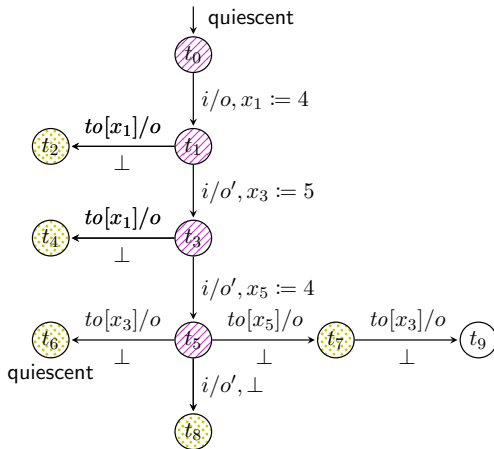
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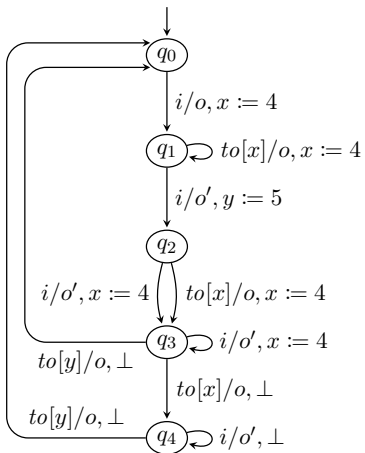
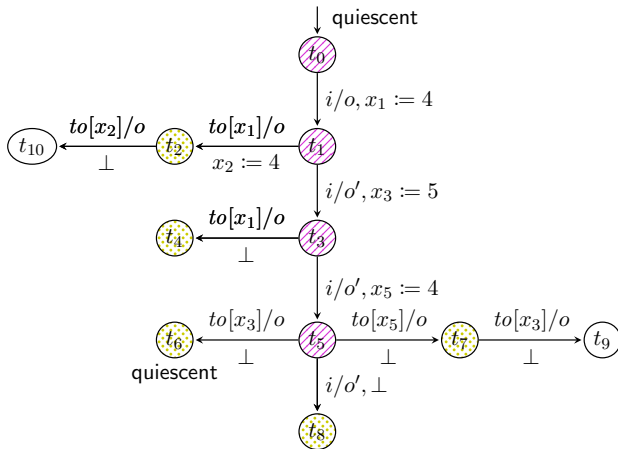


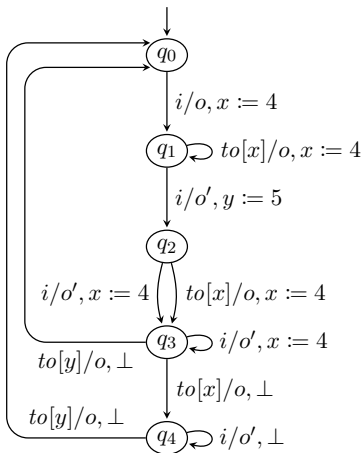
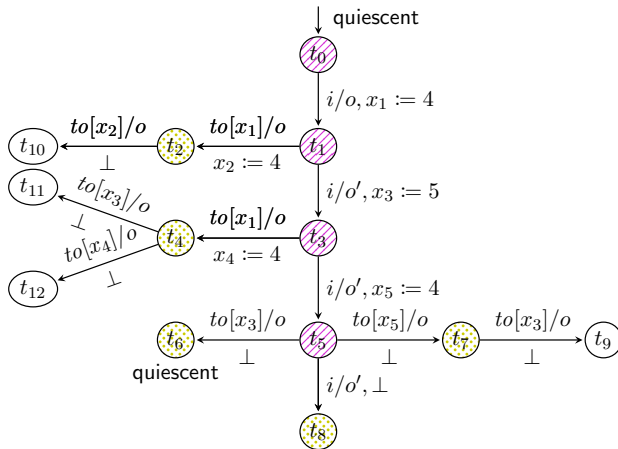
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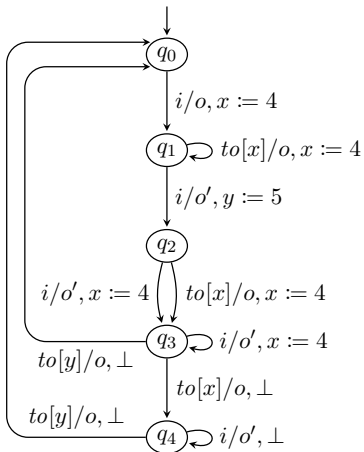
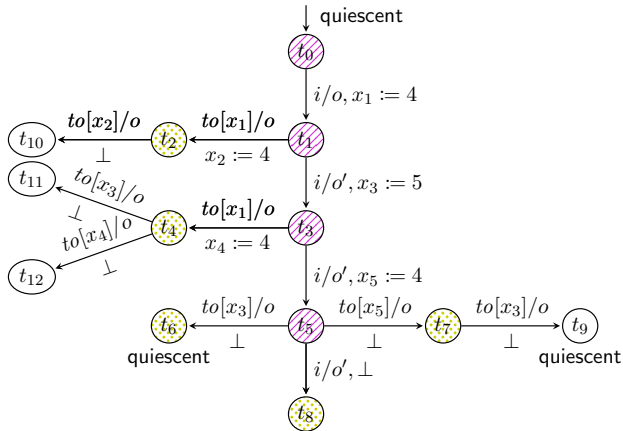
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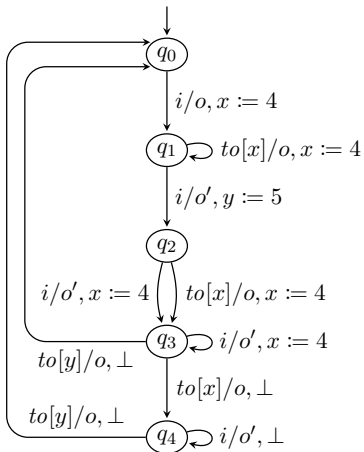
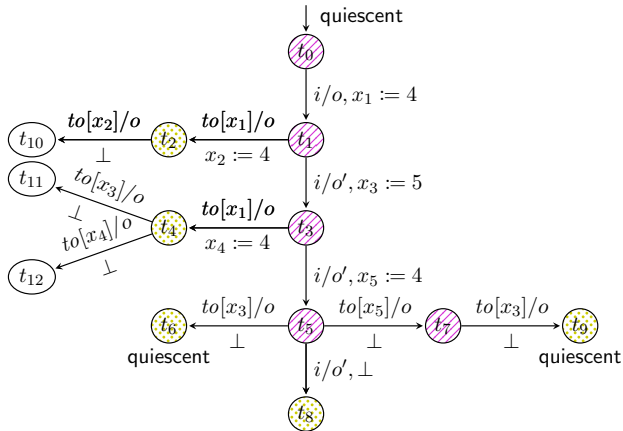
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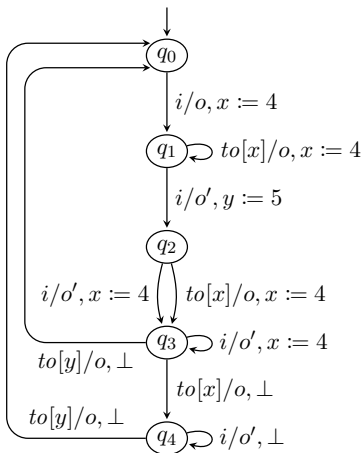
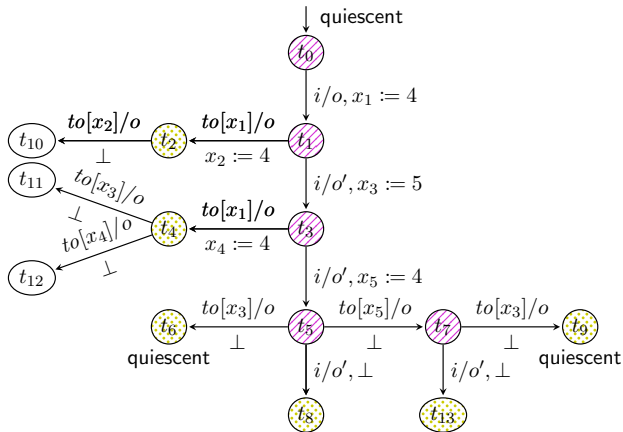
Hidden MMT  $\mathcal{M}$ Observation tree  $\mathcal{T}$ 

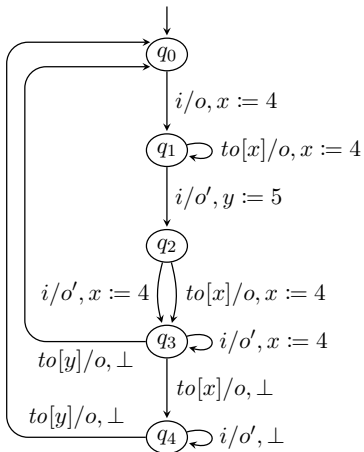
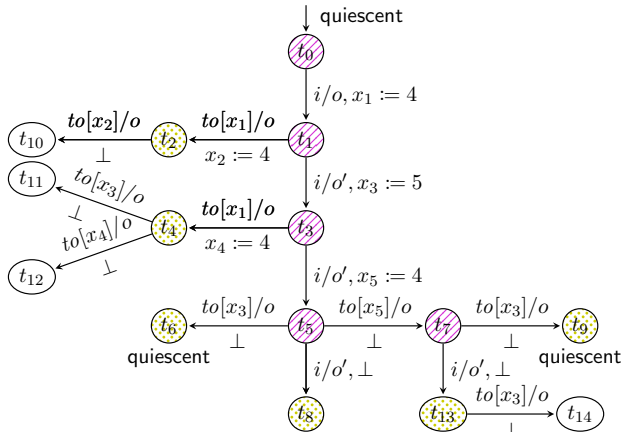
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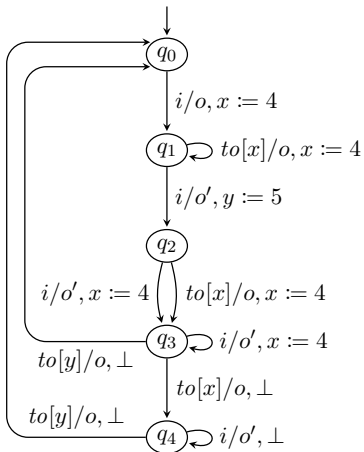
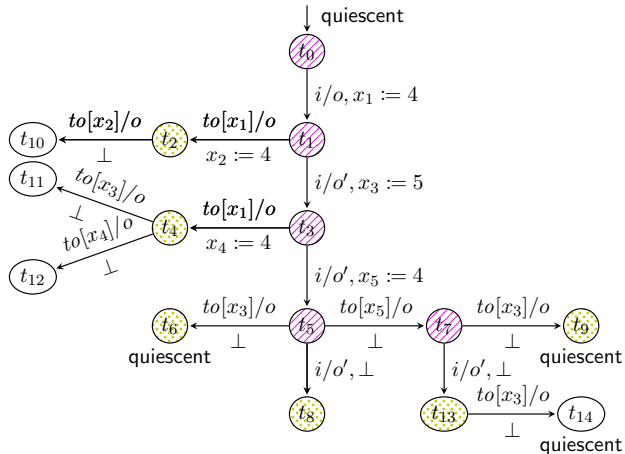
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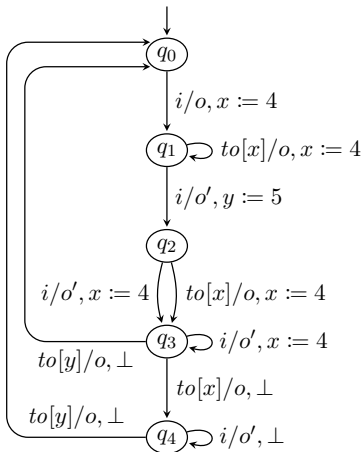
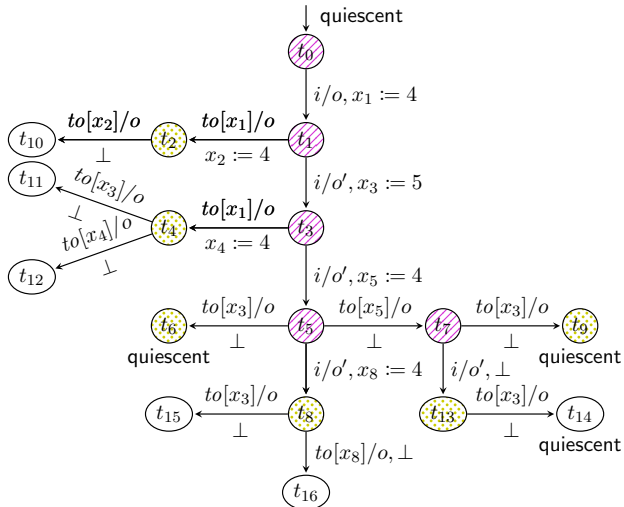
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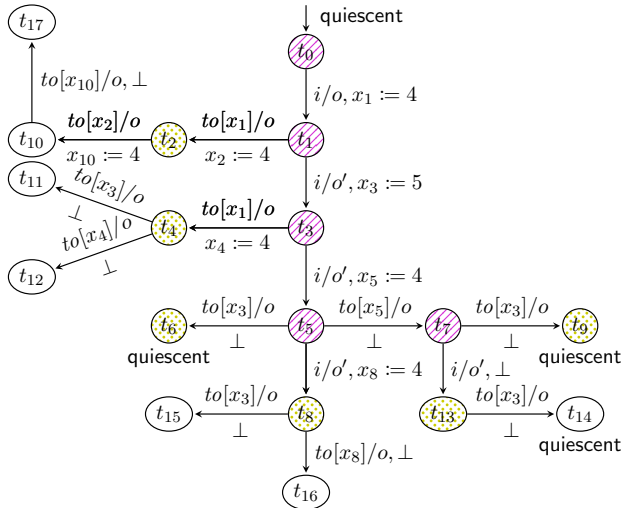
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Hidden MMT  $\mathcal{M}$ Observation tree  $\mathcal{T}$ 

Observation tree  $\mathcal{T}$



We implemented symbolic  $L_{\text{MMT}}^{\#}$  in Rust and ran some experiments<sup>4</sup>.

Model	$ Q $	$ I $	$ X $	$ \mathbf{WQ}^s $	$ \mathbf{OQ}^s $	$ \mathbf{EQ}^s $	Time[ms]	$ \mathbf{MQ} ^5$	$ \mathbf{EQ} ^7$
AKM	4	5	1	22	35	2	684	12263	11
CAS	8	4	1	60	89	3	1344	66067	17
Light	4	2	1	10	13	2	302	3057	7
PC	8	9	1	75	183	4	2696	245134	23
TCP	11	8	1	123	366	8	3182	11300	15
Train	6	3	1	32	28	3	1559		
Running example	3	1	2	11	5	2	1039	-	-
FDDI 1-station	9	2	2	32	20	1	1105	118193	8
Oven	12	5	1	907	317	3	9452	-	-
WSN	9	4	1	175	108	4	3291	-	-

<sup>4</sup><https://doi.org/10.5281/zenodo.10647628>.

<sup>5</sup>Masaki Waga. *Active Learning of Deterministic Timed Automata with Myhill-Nerode Style Characterization*. CAV'23.

## Future work

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- ▶ Implement assistants that realize symbolic queries in terms of concrete queries
- ▶ Design timed testing algorithms to approximate concrete equivalence queries
- ▶ Perform case studies with real systems and larger benchmarks!

# Thank you!

For details, see <https://arxiv.org/abs/2403.02019v3>